

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	To be Assigned
				Filing Date	Herewith
				First Named Inventor	W. Keith Edwards
				Art Unit	To be Assigned
				Examiner Name	To be Assigned
Sheet	1	of	1	Attorney Docket Number	1508/3900 (D/A3285)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-20020156795	10/24/2002	Edwards et al.	
	2	US-20020141582 A1	10/03/2002	Kocher et al.	
	3	US-6,289,455	09/11/2001	Kocher et al.	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	4	Kocher et al., "Self-Protecting Digital Content," Cryptography Research, Inc., pp. 1-3 (2002) [Retrieved from the Internet at http://www.cryptography.com/resources/whitepapers/SelfProtectingContent_sum.pdf on July 10, 2003.]		
	5	U.S. Patent Application Serial No. 10/052,585 filed 1/23/2002 by Newman et al., titled "SYSTEM AND METHOD FOR PROVIDING CONTEXT INFORMATION."		
	6	U.S. Patent Application Serial No. 10/058,268 filed 1/29/2002 by Edwards et al., titled "SYSTEM AND METHOD FOR ENABLING ARBITRARY COMPONENTS TO TRANSFER DATA BETWEEN EACH OTHER."		
	7	U.S. Patent Application Serial No. 10/212,377 filed 8/1/2002 by Edwards et al., titled "SYSTEM AND METHOD FOR ENABLING COMPONENTS ON ARBITRARY NETWORKS TO COMMUNICATE."		
	8	U.S. Patent Application Serial No. 10/212,375 filed 8/1/2002 by Edwards et al., titled "METHOD AND SYSTEM FOR HANDLING DATA."		
	9	U.S. Patent Application Serial No. 10/212,376 filed 8/1/2002 by Edwards et al., titled "SYSTEM AND METHOD FOR CONTROLLING COMMUNICATION."		
	10	U.S. Patent Application Serial No. 10/442,582 filed 5/21/2003 by Edwards et al., titled "SYSTEM AND METHOD FOR DYNAMICALLY ENABLING COMPONENTS TO IMPLEMENT DATA TRANSFER SECURITY MECHANISMS."		
	11	U.S. Patent Application Serial No. 10/324,625 filed 12/18/2003 by Edwards et al., titled "SYSTEM AND METHOD FOR ASSESSING COMPONENT CAPABILITIES."		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

EXPRESS MAIL CERTIFICATE

DOCKET NO. : **D/A3285 (1508/3900)**

APPLICANT(S) : **W. Keith Edwards, Mark W. Newman, Jana Z. Sedivy,
Trevor F. Smith, Diana K. Smetters, Dirk Balfanz,
and Paul Stewart**

TITLE : **SYSTEM AND METHOD FOR SECURELY
CONTROLLING COMMUNICATIONS**

Certificate is attached to the **Information Disclosure Statement (1 page)** and **PTO-1449 Form (1 page)** of the above-named application.

"EXPRESS MAIL" NUMBER: **EV138586999US**

DATE OF DEPOSIT: **July 18, 2003**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop: Patent Application; Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Shawn A. Lockett

(Typed or printed name of person mailing
paper or fee)


(Signature of person mailing paper or fee)